

ST. BARTHOLOMEW'S SCHOOL
DATA PROTECTION POLICY

Reviewed by the Governors' Co-ordinating Committee Summer 2015
Approved by Full Governing Body Summer 2015
To be reviewed Summer 2018

Purpose: It is the responsibility of the Governors to ensure procedures are in place to ensure that the Academy complies with the Data Protection Act 1998 (DPA)

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1. Introduction

“Academy”, “Academy Trust” and “the School” all refer to St Bartholomew’s School, Andover Road, Newbury, Berkshire, RG14 6JP.

In order to operate efficiently St Bartholomew’s School has to collect and use information about people with whom it works. These may include members of the public, current, past and prospective employees, clients and customers, and suppliers. In addition it may be required by law to collect and use information in order to comply with the requirements of central government.

St Bartholomew’s School is committed to ensuring personal information is properly managed and that it ensures compliance with the Data Protection Act 1998 [DPA]. The School will make every effort to meet its obligations under the legislation and will regularly review procedures to ensure that it is doing so.

2. Scope

This policy applies to all employees, governors, contractors, agents and representatives and temporary staff working for or on behalf of the Academy.

This policy applies to all personal information created or held by the Academy in whatever format (e.g. paper, electronic, email, microfiche, film) and however it is stored, (for example ICT system/database, shared drive filing structure, email, filing cabinet, shelving and personal filing drawers).

The DPA does not apply to access to information about deceased individuals.

3. Responsibilities

The Governors have overall responsibility for compliance with the DPA.

The Headteacher is responsible for ensuring compliance with the DPA and this policy within the day to day activities of the Academy. The Headteacher is responsible for ensuring that appropriate training is provided for all staff.

All members of staff or contractors who hold or collect personal data are responsible for their own compliance with the DPA and must ensure that personal information is kept and processed in-line with the DPA.

4. The Requirements

The DPA stipulates that anyone processing personal data must comply with eight principles of good practice; these principles are legally enforceable. The principles require that personal information:

1. Shall be processed fairly and lawfully and in particular, shall not be processed unless specific conditions are met;
2. Shall be obtained only for one or more specified and lawful purposes and shall not be further processed in any manner incompatible with that purpose or those purposes;
3. Shall be adequate, relevant and not excessive in relation to the purpose or purposes for which it is processed;
4. Shall be accurate and where necessary, kept up to date;
5. Shall not be kept for longer than is necessary for that purpose or those purposes;
6. Shall be processed in accordance with the rights of data subjects under the Act;
7. Shall be kept secure i.e. protected by an appropriate degree of security;
8. Shall not be transferred to a country or territory outside the European Economic Area, unless that country or territory ensures an adequate level of data protection.

Personal data is information about living, identifiable individuals. It covers both facts and opinions about the individual, but need not be sensitive information. It can be as little as a name and address. Such data can be part of a computer record or manual record.

5. Notification

The Data Protection Act 1998 requires every data controller who is processing personal data, to notify and renew their notification, on an annual basis. Failure to do so is a criminal offence. The Information Commissioner maintains a public register of data controllers, in which the Academy is registered.

The Academy will review the Data Protection Register (<http://www.ico.gov.uk/ESDWebPages/search.asp>) annually, prior to renewing the notification to the Information Commissioner. The current registration number for the Academy is:- **Z581823X renewable on 12 November each year**. A copy of the entry details will be held with the Data Protection Policy.

6. Privacy Notices

Whenever information is collected about individuals they must be made aware of the following:

- The identity of the data controller, e.g. the Academy;
- The purpose that the information is being collected for;
- Any other purposes that it may be used for;
- Who the information will or may be shared with; and
- How to contact the data controller.

This must be at the time that information first starts to be gathered on an individual.

7. Conditions for Processing

Processing of personal information may only be carried out where one of the conditions of Schedule 2 of the DPA has been satisfied. (Appendix A)

Processing of sensitive personal data may only be carried out if a condition in Schedule 3 is met as well as one in Schedule 2. (Appendix A)

Please see the glossary for a list of the original conditions in schedules 2 and 3. (Appendix B) Updates and amendments can be checked at <http://www.opsi.gov.uk> or <http://www.statutelaw.gov.uk/SearchResults.aspx?TYPE=QS&Title=data+protection+act&Year=&Number=&LegType=All+Legislation>

Schools, local education authorities and the Department for Education (DfE) all hold information on pupils and staff in order to run the education system and in doing so have to follow the Data Protection Act 1998. This means, among other things, that the data held about pupils and staff must only be used for specific purposes allowed by law. The School has a Fair Processing Privacy Notice which explains how personal data is used and with whom it will be shared.

8. Provision of Data

It is a criminal offence to knowingly or recklessly obtain or disclose information about an individual without legitimate cause. Relevant, confidential data should only be given to:

- *other members of staff on a need to know basis;*
- *relevant Parents/Guardians;*
- *other authorities if it is necessary in the public interest, e.g. prevention of crime;*
- *other authorities, such as the LA and Colleges to which a pupil may move.*

The Academy should not disclose anything on a student's record which would be likely to cause serious harm to their physical or mental health or that of anyone else. Therefore, those who create such records should ensure that such information is separated from other records.

Where there is doubt or statutory requirements conflict advice should be obtained.

Third party data (information about someone other than the requesting individual) should in general only be provided with the consent of the individual in question. When giving information to an individual, particularly by telephone, it is most important that the individual's identity is verified. If in doubt, questions should be asked of the individual, to which only he/she is likely to know the answers. Information should not be provided to other parties, even if related. For example: in the case of divorced parents it is important that information regarding one party is not given to the other party to which he/she is not entitled.

9. The individual's right to access their personal information (Subject Access Requests)

Any person whose details are held by the Academy is entitled, under the DPA, to ask for a copy of all information held about them (or child for which they are responsible).

When a request is received it must be dealt with promptly; a response must be provided as soon as possible and

- within 40 calendar days,
- or within 15 school days for educational records, and if copies are requested, these must be supplied within 15 school days of payment.

The Academy Trust may make a charge of up to £10 for responding to a subject access request and up to £50 (on a sliding scale for photocopying charges) for access to a student's educational record.

When providing the information the Academy must also provide a description of why the information is processed, details of anyone it may be disclosed to and the source of the data.

A member of staff can request access to their own records at no charge, but the request must be made in writing. The member of staff has the right to see their own records and to ask for copies of the records. There is no charge for copies of records.

Subject Access Requests should be requested by the individual in writing. The School will acknowledge the letter in writing on receipt, and access to records will be arranged as soon as possible. If awaiting third party consents, the school will arrange access to those documents already available, and notify the individual that other documents may be available later. If third party permission is not obtained the person with overall responsibility should consider whether the information can be released.

10. Provision of data to children

In relation to the capacity of a child to make a subject access request, guidance provided by the Information Commissioner's Office has been that by the age of 12 a child can be expected to have sufficient maturity to understand the nature of the request. A child may of course reach sufficient maturity earlier; each child should be judged on a case by case basis.

If the child does not understand the nature of the request, someone with parental responsibility for the child, or a guardian, is entitled to make the request on behalf of the child and receive a response.

Students who submit requests to access their educational records should be allowed to do so unless it is obvious that they do not understand what they are asking for.

11. Parents' rights

An adult with parental responsibility can access the information about their child, as long as the child is not considered to be sufficiently mature. They must be able to prove their parental responsibility and the Academy is entitled to request relevant documentation to evidence this as well as the identity of the requestor and child.

In addition, parents have their own independent right under The Education (Pupil Information) (England) Regulations 2000 of access to the official education records of their children. Students do not have a right to prevent their parents from obtaining a copy of their School records. Parents who wish to exercise this right must apply to the school in writing.

12. Information Security

All members of staff should be constantly aware of the possibility of personal data being seen by unauthorised personnel. For example, possibilities may arise when computer screens are visible to the general public; files may be seen by the cleaners if left on desks overnight (all papers must be locked in cabinets when not in use).

The use of computer passwords is a requirement of the Academy to avoid unauthorised access. ICT back up data is stored both daily and termly and held within separate fire safes in located in different blocks of the school building.

13. Maintenance of up to date data

Out of date information should be discarded if no longer relevant. Information should only be kept as long as needed, for legal or business purposes. In reality most relevant information should be kept for the period during which the person is associated with the Academy plus an additional period which the Academy has determined. (See Appendix C)

14. Inaccurate Data

If an individual complains that the personal data held about them is wrong, incomplete or inaccurate, the position should be investigated thoroughly including checking with the source of the information. In the meantime a caution should be marked on the person's file that there is a question mark over the accuracy. An individual is entitled to apply to the court for a correcting order and it is obviously preferable to avoid legal proceedings by working with the person to correct the data or allay their concerns.

15. Recording of Data

Records should be kept in such a way that the individual concerned can inspect them. It should also be borne in mind that at some time in the future the data may be inspected by the courts or some legal official. It should therefore be correct, unbiased, unambiguous and clearly decipherable/readable. Where information is obtained from an outside source, details of the source and date obtained should be recorded.

Any person whose details, or child's details, are to be included on the Academy's website will be required to give written consent. At the time the information is included all such individuals will be properly informed about the consequences of their data being disseminated worldwide.

16. Photographs

Whether or not a photograph comes under the DPA is a matter of interpretation and quality of the photograph. However, the Academy takes the matter extremely seriously and seeks to obtain the permission for the use of photographs outside the Academy from parents and staff and, in particular, to record their wishes if they do not want photographs to be taken of their children or themselves (staff). A Consent for Use of Image Form (Students/Staff) is provided to on starting.

17. Breach of the policy

Non-compliance with the requirements of the DPA by the members of staff could lead to serious action being taken by third parties against the Academy authorities. Non-compliance by a member of staff is therefore considered a disciplinary matter which, depending on the circumstances, could lead to dismissal. It should be noted that an individual can commit a criminal offence under the Act, for example, by obtaining and/or disclosing personal data for his/her own purposes without the consent of the data controller.

The following policies should be read in conjunction with the Data Protection Policy:

ICT Instructions for New Staff
Rules for Responsible Computer and Internet Use (Students)
ICT Policy
Email Policy

.....
Signed by Chair

.....
Date

APPENDIX A

SCHEDULE 2 : CONDITIONS RELEVANT FOR PURPOSES OF THE FIRST PRINCIPLE: PROCESSING OF ANY PERSONAL DATA

- 1 The data subject has given his consent to the processing.
- 2 The processing is necessary—
 - (a) for the performance of a contract to which the data subject is a party, or
 - (b) for the taking of steps at the request of the data subject with a view to entering into a contract.
- 3 The processing is necessary for compliance with any legal obligation to which the data controller is subject, other than an obligation imposed by contract.
- 4 The processing is necessary in order to protect the vital interests of the data subject.
- 5 The processing is necessary—
 - (a) for the administration of justice,
 - (b) for the exercise of any functions conferred on any person by or under any enactment,
 - (c) for the exercise of any functions of the Crown, a Minister of the Crown or a government department, or
 - (d) for the exercise of any other functions of a public nature exercised in the public interest by any person.
- 6 (1) The processing is necessary for the purposes of legitimate interests pursued by the data controller or by the third party or parties to whom the data are disclosed, except where the processing is unwarranted in any particular case by reason of prejudice to the rights and freedoms or legitimate interests of the data subject.
(2) The Secretary of State may by order specify particular circumstances in which this condition is, or is not, to be taken to be satisfied.

SCHEDULE 3: CONDITIONS RELEVANT FOR PURPOSES OF THE FIRST PRINCIPLE: PROCESSING OF SENSITIVE PERSONAL DATA

- 1 The data subject has given his explicit consent to the processing of the personal data.
- 2 (1) The processing is necessary for the purposes of exercising or performing any right or obligation which is conferred or imposed by law on the data controller in connection with employment.
(2) The Secretary of State may by order—
 - (a) exclude the application of sub-paragraph (1) in such cases as may be specified, or
 - (b) provide that, in such cases as may be specified, the condition in sub-paragraph (1) is not to be regarded as satisfied unless such further conditions as may be specified in the order are also satisfied.
- 3 The processing is necessary—
 - (a) in order to protect the vital interests of the data subject or another person, in a case where—
 - (i) consent cannot be given by or on behalf of the data subject, or
 - (ii) the data controller cannot reasonably be expected to obtain the consent of the data subject,
 - or
 - (b) in order to protect the vital interests of another person, in a case where consent by or on behalf of the data subject has been unreasonably withheld.
- 4 The processing—
 - (a) is carried out in the course of its legitimate activities by any body or association which—
 - (i) is not established or conducted for profit, and

- (ii) exists for political, philosophical, religious or trade-union purposes,
 - (b) is carried out with appropriate safeguards for the rights and freedoms of data subjects,
 - (c) relates only to individuals who either are members of the body or association or have regular contact with it in connection with its purposes, and
 - (d) does not involve disclosure of the personal data to a third party without the consent of the data subject.
- 5 The information contained in the personal data has been made public as a result of steps deliberately taken by the data subject.
- 6 The processing—
- (a) is necessary for the purpose of, or in connection with, any legal proceedings (including prospective legal proceedings),
 - (b) is necessary for the purpose of obtaining legal advice, or
 - (c) is otherwise necessary for the purposes of establishing, exercising or defending legal
- rights.
- 7 (1) The processing is necessary—
- (a) for the administration of justice,
 - (b) for the exercise of any functions conferred on any person by or under an enactment, or
 - (c) for the exercise of any functions of the Crown, a Minister of the Crown or a government department.
- (2) The Secretary of State may by order—
- (a) exclude the application of sub-paragraph (1) in such cases as may be specified, or
 - (b) provide that, in such cases as may be specified, the condition in sub-paragraph (1) is not to be regarded as satisfied unless such further conditions as may be specified in the order are also satisfied.
- 8 (1) The processing is necessary for medical purposes and is undertaken by—
- (a) a health professional, or
 - (b) a person who in the circumstances owes a duty of confidentiality which is equivalent to that which would arise if that person were a health professional.
- (2) In this paragraph “medical purposes” includes the purposes of preventative medicine, medical diagnosis, medical research, the provision of care and treatment and the management of healthcare services.
- 9 (1) The processing—
- (a) is of sensitive personal data consisting of information as to racial or ethnic origin,
 - (b) is necessary for the purpose of identifying or keeping under review the existence or absence of equality of opportunity or treatment between persons of different racial or ethnic origins, with a view to enabling such equality to be promoted or maintained, and
 - (c) is carried out with appropriate safeguards for the rights and freedoms of data subjects.
- (2) The Secretary of State may by order specify circumstances in which processing falling within sub-paragraph (1)(a) and (b) is, or is not, to be taken for the purposes of sub-paragraph (1)(c) to be carried out with appropriate safeguards for the rights and freedoms of data subjects.
- 10 The personal data are processed in circumstances specified in an order made by the Secretary of State for the purposes of this paragraph.

The schedules can be amended by later regulations and the Academy will check for amendments on the following websites:

<http://www.opsi.gov.uk>

<http://www.statutelaw.gov.uk/SearchResults.aspx?TYPE=QS&Title=data+protection+act&Year=&Number=&LegType=All+Legislation>

APPENDIX B

Abbreviations

Abbreviation	Description
DPA	Data Protection Act 1998
EIR	Environmental Information Regulations 2004
FoIA	Freedom of Information Act 2000

Glossary

Data Controller	A data controller is the individual or the legal person who controls and is responsible for the keeping and use of personal information on computer or in structured manual files.
Data Subject	The individual who the data or information is about
Educational record	The educational record is confined to information that comes from a teacher or other employee of a local authority or College, the pupil or their parents. Communications about a particular child from head teachers and teachers at a College and other employees at an education authority will therefore form part of that child's official educational record, as will correspondence from an educational psychologist engaged by the governing body under a contract of services. It may also include information from the child and their parents, such as information about the health of the child. Information kept by a teacher solely for their own use does not form part of the official educational record.
Information Commissioner	The independent person who has responsibility to see that the DPA is complied with. They can give advice on data protection issues and can enforce measures against individuals or organisations who do not comply with the DPA.
Notified Purposes	The purposes for which the Academy is entitled to process that data under its notification with the Office of the Information Commissioner.
Personal Data	Defined in s(1) of the DPA, as 'data which relates to a living individual who can be identified from that data, or from that data and other information which is in the possession of, or is likely to come into the possession of, the data controller' (the Academy is a data controller), and includes any expression of opinion about the individual and any indication of the intentions of the data controller or any other in respect of the individual.
Processing	covers a broad range of activities such that virtually any use of personal information or data will amount to processing.
Processed fairly and lawfully	Data must be processed in accordance with the 3 provisions of the DPA. These are the data protection principles, the rights of the individual and notification.
Sensitive Data	Information about racial or ethnic origin, sexual life, religious beliefs (or similar), physical or mental health/condition, membership of a trade union, political opinions or beliefs, details of proceedings in connection with an offence or an alleged offence.
Subject Access Request	An individual's request for personal data under the Data Protection Act 1998.

APPENDIX C

Storage, Archiving and Deleting Personal Data Guidance

- **School Records for a child:** should be kept for 7 years after the child leaves the School or until the child reaches 25 years of age (whichever is later).
- **Examination records:** for a child should be kept for 7 years after the child leaves the School or until the child reaches 25 years of age (whichever is later).
- **Admission and Exclusion Appeal Papers:** will be retained by the Clerk to the Governors and archived with the student file on leaving.
- **Employment records:** form part of a staff members' permanent record. Records are held in paper files, electronically and on the Personnel database. Recruitment checks, contract, payroll and pension information held on a personal file will be archived to a hard drive on leaving employment.
- **Disciplinary and Capability records:** for staff will be filed separately to the personal file and will be retained for the duration of any procedures or warnings in force. Matters referred to the Independent Safeguarding Authority will be retained permanently.
- **Interview records:** including application forms and interview notes for unsuccessful candidates are kept for 6 months. The only exception to this is where the appointment is made to a migrant worker requiring sponsorship to work in the UK, where all recruitment documentation must be kept until inspected by a Home Office, compliance officer.
- **Formal complaints to the Headteacher or School Governors:** will be kept for at least 7 years in confidential files, with any documents on the outcome of such complaints. Individuals concerned in such complaints may have access to such files subject to data protection and to legal professional privilege in the event of a court case.
- **Accident Records & Health & Safety:** These records must be kept either in written or electronic form for a minimum of three years.
<http://www.sja.org.uk/sja/training-courses/first-aid-in-schools/incidents-and-record-keeping.aspx>
- **Academy accounting records:** as a registered charity under the Companies Act, will be maintained in accordance with sections 386 to 389 of the Companies Act 2006.
<http://www.legislation.gov.uk/ukpga/2006/46/contents>